

Date: 20th January 2025.

To,

National Stock Exchange of India Ltd.

Address: Exchange Plaza, Plot no. C/1,

G Block, Bandra-Kurla Complex, Bandra (E),

Mumbai – 400 051.

NSE Scrip Symbol: UHTL

Subject: Integrated Filing (Governance) in regard with Statement of Investor Complaints as per Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Certificate of Non-Applicability of Corporate Governance for the Quarter ended on December 31, 2024

A] Certificate of Non-Applicability of Corporate Governance

Respected Sir/Madam,

As per Regulation 15 of SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015, the compliance with the corporate governance provisions as specified in Regulation 17, 17A, 18, 19, 20, 21, 22, 23, 24, 24A, 25, 26, 27 and clauses (b) to (i) and (t) of sub-regulation (2) of Regulation 46 and para C, D and E of Schedule V shall not apply, in respect of –

- a. A listed entity having paid up equity share capital not exceeding rupees ten crore and net worth not exceeding rupees Twenty-Five Crore, as on the last day of previous financial year 31st March 2024.
- b. A listed entity which has listed its specified securities on the SME Exchange.

Accordingly, as the company, **United Heat Transfer Limited** (Formerly Known As United Heat Transfer Private Limited) has listed its specified securities on **NSE SME Exchange**, it falls under the ambit of the aforesaid exemption. Hence, compliance with the Corporate Governance provision specified in the aforesaid Regulation **shall not be applicable** to the Company.

Therefore, it is not required to submit Corporate Governance Report for the quarter ended on December 31, 2024.

The company also undertakes that whenever this regulation becomes applicable to our company at a later date, the company will comply with the requirements of the above regulations within six months from the date on which the provision becomes applicable to the company.

Kindly take the above on record.

Yours faithfully,

**For and on behalf of Board of Directors of,
UNITED HEAT TRANSFER LIMITED
(Formerly Known as United Heat Transfer Private Limited)**

Ms. Diksha Shetty
(Company Secretary & Compliance Officer)
Membership No. A64198
Address: 4, Yamuna, Apartment, Mahatma Nagar, Nashik- 422007,
Maharashtra, India

Date – 20th January 2025.

To,
National Stock Exchange of India Ltd.
Address: Exchange Plaza” Plot no. C/1,
G Block, Bandra-Kurla Complex, Bandra (E),
Mumbai – 400 051.

NSE Scrip Symbol: UHTL

Subject: Integrated Filing (Governance) in regard with Statement of Investor Complaints as per Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Certificate of Non-Applicability of Corporate Governance for the Quarter ended on December 31, 2024

B) Statement of Investor Complaints as per Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Respected Sir/Madam,

Please find enclosed herewith the Statement of Investor Complaints as per Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the quarter starting from 01st October 2024 and Ending on 31th December, 2024 dated 6th January 2025 received from M/s MUFG Intime India Private Limited (Erstwhile Known as Link Intime India Private Limited) the Registrar and Share Transfer Agent of the Company.

Kindly acknowledge the receipt.

Yours faithfully,

**For and on behalf of Board of Directors of,
UNITED HEAT TRANSFER LIMITED
(Formerly Known as United Heat Transfer Private Limited)**

Ms. Diksha Shetty
(Company Secretary & Compliance Officer)
Membership No. A64198
Address: 4, Yamuna, Apartment, Mahatma Nagar, Nashik- 422007,
Maharashtra, India
Encl: Statement of Investor complaints issued by RTA.
Scores Portal Print.

**Statement of Investor Complaints pursuant to Regulation 13(3) of the SEBI (Listing
Obligation and Disclosure Requirements) Regulations, 2015 for the Quarter ended
December 31, 2024**

INVESTOR GRIEVANCE REDRESSAL REPORT FOR QUARTER ENDED ON DECEMBER 31, 2024	
INVESTOR COMPLAINTS	QUARTER ENDED ON DECEMBER 31, 2024.
No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	2
No. of investor complaints disposed of during the Quarter	2
No. of investor complaints those remaining unresolved at the end of the Quarter	0

**For and on behalf of Board of Directors of,
UNITED HEAT TRANSFER LIMITED
(Formerly Known as United Heat Transfer Private Limited)**

Ms. Diksha Shetty
(Company Secretary & Compliance Officer)
Membership No. A64198
Address: 4, Yamuna, Apartment, Mahatma Nagar, Nashik- 422007,
Maharashtra, India

Date: January 06, 2025

To,
Company Secretary & Compliance Officer
United Heat Transfer Limited
Plot No. F-131, MIDC Area,
Ambad, Nashik, Maharashtra – 422010.

Dear Sir/Madam,

Sub: Investor Grievance Report for the period 01.10.2024 to 31.12.2024.
Unit United Heat Transfer Limited - IPO

Please refer the below investor grievance details for the period, starting from 01.10.2024 to 31.12.2024.

Investor grievance report for the quarter ended 31.12.2024						
Particulars	SEBI	BSE	NSE	Letters	Mails	Total
Pending at the beginning of the quarter	Nil	Nil	Nil	Nil	Nil	Nil
Received during the quarter	Nil	Nil	Nil	Nil	02	02
Disposed of during the quarter	Nil	Nil	Nil	Nil	02	02
Remaining unresolved at the end of the quarter	Nil	Nil	Nil	Nil	Nil	Nil

Thanking You,

Yours faithfully,

For MUFG Intime India Pvt. Ltd
(Formerly known as Link Intime India Pvt. Ltd.)



Shanti Gopalkrishnan
Asst. Vice President- Primary Market-IRC



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[Pending Auto Assigned Complaints](#)



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Entity Dashboard



0

Number of complaints received



0

Number of complaints auto assigned to entity



0

Number of complaints pending with complainant awaiting first level review



0

Number of complaints escalated to Designated Body for first level review



0

Number of complaints pending with complainant awaiting second level review



0

Number of complaints escalated to SEBI for second level review



0

Number of complaints disposed

Complaint Lists

Search by Registration Number/Category/PAN Number/Email Id/Mobile Number



No Complaint Received!